#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert K. Rowe et al.

Serial No.: 09/832,534

Examiner: Unknown

Filed:

April 11, 2001

Group Art Unit: 2621

For:

APPARATUS AND METHOD OF BIOMETRIC IDENTIFICATION OR VERIFICATION OF INDIVIDUALS USING OPTICAL SPECTROSCOPY

Docket No.: 1023.1110102

TRANSMITTAL SHEET

Assistant Commissioner for Patents Box Missing Parts Washington, D.C. 20231

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL925260893US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 30th day of July, 2001.

By Kathleen L. Boekley

Kathleen L. Boekley

We are transmitting herewith the attached:

l]	А	Amenament					
		Γ	1 No	additional	fee	reauir		

The fee has been calculated as shown:

CLAIMS AS AMENDED											
	(3)	(4)	(5)	SMALL ENTITY		OTHER					
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE				
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$				
INDEPEN- DENT CLAIMS	-	=		X40=	\$	X80=	\$				
() FIRST MI	+135=	\$	+270=	\$							
TOTAL	\$		\$	-··-							

A check in the amount of \$240.00 is enclosed. [XX] \$110.00 Fee Code 115 Fee Code <u>105</u> 130.00 Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been [] established by verified statement previously submitted. Other: PETITION FOR A ONE-MONTH EXTENSION OF TIME, RESPONSE [XX]TO NOTICE TO FILE MISSING PARTS, RESPONSE COPY OF NOTICE TO FILE MISSING PARTS, EXECUTED DECLARATION/POWER ATTORNEY, LETTER TO OFFICIAL DRAFTSMAN, AND SIX SHEETS OF FORMAL DRAWINGS. [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413. David M. Crompton

Reg. No.

36,772

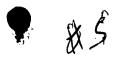
David M. Crompton CROMPTON, SEAGER & TUFTE, LLC 331 Second Avenue South, Suite 895 Minneapolis, Minnesota 55401-2246

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(612) 359-9349





PATENT

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By Kathleen L. Boekley

Kathleen L. Boekley

Dear Sir:

Please find attached hereto six (6) sheets of formal drawings for filing in the above-identified application.

Respectfully submitted,

Robert K. Rowe et al.

By their attorne

Date: //30/0

David M. Crompton, Reg. No. 36,7/12

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